

PROGRAMS FOR YOUTH WITH SEXUALLY HARMFUL BEHAVIORS These audit items are in addition to the residential audit tool.	Probe/Source (potential auditing source options)	Repeat	Comments
1. The agency utilizes only professionals who are specifically certified to provide behavior health services for this population	Review of Credential documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
2. Direct Care Staff receive an initial competency assessment within the first 60 days of being scheduled to work with this population. Identified issues are addressed within 30 days. At a minimum the competency assessment must include safety planning; risk/needs/responsivity; healthy relationships; supervision/informed supervision and sexual development.	Review of human resource documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
3. Annual training must include at a minimum safety planning; risk/needs/responsivity; healthy relationships; supervision/informed supervision and sexual development.	Review of training documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
4. Therapeutic services provided are consistent with best practices as established by the industry.	Review of documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
5. Facility will ensure that court and treatment recommendations are followed related to home visits, community passes and contact with victims.	Review of clinical documentation, court orders, case plans, etc.		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
6. Youth have received a sexual risk assessment by a specifically trained clinician prior to or within 14 days of placement.	Review of assessment		Impact Category: Direct Item Rating: Findings: Required Action:

			Additional Recommendations:
7. Risk reassessments are completed at a minimum every 6 months and prior to discharge.	Review of documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
8. As part of the risk reassessments the following should be addressed especially if new or information has changed: <ul style="list-style-type: none"> • Youth family, and community strengths • Cognitive functioning results • Social/developmental history • Current individual functioning • Delinquency and conduct/behavioral issues • Substance use and abuse • Sexual assessment • Mental health assessment • Sexual history • Trauma assessment • Community risk and protective factors • Awareness of victim impact • Quality and availability of informed supervision • Approved Risk/need tool and structured clinical judgement • Conclusion to include statement of risk; recommendation regarding level of restrictiveness; statement of amenability of youth and family; statement of protective factors; needs of youth and family; recommendations for interventions to address needs; recommendations of critical individuals in the family and community to support interventions; statement of specific responsivity factors; and recommendations for strategies to address responsivity factors. 	Review of documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
9. Court order is present for each polygraph ordered	Review of documentation including court orders		Impact Category: Direct Item Rating: Findings: Required Action:

			Additional Recommendations:
10. When applicable agency is working to ensure the victim clarification process is handled within best practice.	Review of documentation including consent for victims participating in clarification.		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
11. Clarification and safety planning are completed for all youth prior to being reunified with victims.	Review of clinical documentation		
12. Safety plans are developed and updated throughout the treatment process to match risk/needs/responsivity.	Review of documentation including monthly reports and safety plans		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
13. The following are taken into consideration prior to recommending discharge: <ul style="list-style-type: none"> • Dynamic risk factors have decreased and are addressed in safety planning • Protective factors have been identified • Static risk factors are addressed s needed in safety planning • Overall risk has decreased since admission • Family has identified informed supervisors to assist with supervision • Appropriate aftercare services have been identified. 	Review of documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
Agency must have the following policies:			
14. Facility must have a policy on Admission/Exclusionary Criteria to include evaluation of level of risk and appropriateness for the current milieu.	Review of policies and related documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
15. Room Assignment- The program must have procedures regarding supervision and room assignments (if youth are not in single rooms).	Review of policies and related documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
16. The facility needs to have a policy and procedure regarding the use of alarms and video monitoring	Review of policies and related documentation		Impact Category: Direct Item Rating: Findings:

systems. Equipment is monitored through the facility environment of care rounds.			Required Action: Additional Recommendations:
17. The agency must provide a policy on regarding appropriate supervision of clients at all times to include sleep hours and relief coverage.	Review of policies and related documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
18. Facility must have a policy on appropriateness for community involvement on and off grounds specifically to address safety of the community.	Review of policies and related documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
19. The agencies must have policies on what the agency considers appropriate boundaries after youth is discharged from program.	Review of policies and related documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations:
20. Agency must has a progressive improvement process (e.g. five-year plan) in place that demonstrates the clinical components are implemented consistently.	Review of documentation		Impact Category: Direct Item Rating: Findings: Required Action: Additional Recommendations: